

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Koji (nmi) Takeguchi  
Serial No.: 10/775,929  
Filing Date: February 10, 2004  
Group Art No.: 2664  
Confirmation No.: 3182  
Title: Upgraded Flexible Open Ring Optical Network and Method

**Mail Stop: Amendments**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicant respectfully requests, pursuant to 37 C.F.R. §§1.56, 1.97, and 1.98, that the documents listed on the attached Form PTO-1449 be considered and cited in the examination of the above-identified application.

Pursuant to the changes to C.F.R. §1.98(a)(2), copies of U.S. patents and U.S. patent application publications have not been provided. References other than U.S. patents and U.S. patent application publications are enclosed for the convenience of the Examiner.

Pursuant to 37 C.F.R. §§1.97(g) and (h), Applicant makes no representation that these documents qualify as prior art or that these documents are material to patentability of the present application or that a search has been made.

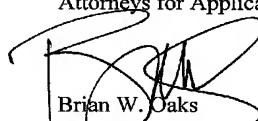
**REMARKS**

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicant believes that this Information Disclosure Statement has been filed before the mailing date of the first Official Action in this case. Therefore, Applicant believes that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts, L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicant



Brian W. Oaks  
Reg. No. 44,981

Date: September 8, 2006

**Correspondence Address:**

**Customer Number**

**05073**

<b>PTO-1449</b> <b>Information Disclosure Citation</b> <b>In an Application</b>		<b>Application No.</b> <b>10/775,929</b>		<b>Applicant(s)</b> <b>Koji (nmi) Takeguchi</b>	
		<b>Docket Number</b> <b>064731.0350</b>	<b>Group Art Unit</b> <b>2664</b>	<b>Filing Date</b> <b>February 10, 2004</b>	

**U.S. PATENT DOCUMENTS**

	<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>
<b>A</b>	2002/0044315	04/18/2002	Sugawara et al.			
<b>B</b>	2003/0170020	09/11/2003	Chaudhuri et al.			
<b>C</b>						
<b>D</b>						
<b>E</b>						
<b>F</b>						

**FOREIGN PATENT DOCUMENTS**

	<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
						<b>YES</b>	<b>NO</b>
<b>G</b>							
<b>H</b>							
<b>I</b>							

**NON-PATENT DOCUMENTS**

	<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>	<b>DATE</b>
<b>J</b>		
<b>K</b>		
<b>L</b>		
<b>M</b>		
<b>N</b>		
<b>O</b>		

**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.